

**SUBSCRIPTION FORM FUND UNITS FIA HERMES****1. Legal Client Data**

Company Name: _____
 Legal Form and Structure: _____
 Head Office Address: _____
 Unique registration code: _____ No.Reg. of Commerce _____ Subscribed and paid-up share capital _____ IBAN account _____
 Bank _____
 Shareholder and holding structure _____
 Object of activity _____

2. Data of legal representative (if applicable)

Surname/Name: _____ Pseudonym _____
 Domicile: _____
 Citizenship _____ Nationality _____ Residence (romana – R / foreign – F) _____
 Personal Identification Number: _____ ID Card: C.I./B.I./P., series _____
 no _____ issued by _____, on date _____ Phone/ Fax: _____
 Email: _____ Occupation _____
 Principal Business or Activity _____ Work Place: _____ Government Employment/Public Function Held _____
 Documents provided: ID card passport others, specify _____

Surname/Name: _____ Pseudonym _____
 Domicile: _____
 Citizenship _____ Nationality _____ Residence (romana – R / foreign – F) _____
 Personal Identification Number: _____ ID Card: C.I./B.I./P., series _____
 no _____ issued by _____, on date _____ Phone/ Fax: _____
 Email: _____ Occupation _____
 Principal Business or Activity _____ Work Place: _____ Government Employment/Public Function Held _____
 Documents provided: ID card passport others, specify _____

3. Investment Date

Amount invested _____ RON, in letters _____
 Subscription data: Account holder: FIA HERMES
 IBAN Account: RO74BRDE450SV72790294500
 Bank: BRD Branch Large Corporate Clients, based in Bucharest, Ion Mihalache Blvd no. 1-7, district 1
 SWIFT: BRDEROBU
 Payment Details: Subscription of FIA HERMES Units

4. Correspondence

e-mail _____ fax no. _____
 mail, at _____

5. Bank details

The method of payment of the fund units purchased shall be made by transfer from account No..

Open with bank _____ Owner _____

6. FATCA (Foreign Account Tax Compliance Act)

I certify that I am an american citizen and/or resident (including permanent resident with a green card issued) in U.S.A., for tax purposes. Taxpayer Identification Number (USA TIN) is _____

I certify that I am not an american citizen and/or resident (including permanent resident with a green card issued) in U.S.A., for tax purposes.

7. CRS (Common Reporting Standard)

I am a fiscal resident in _____ with taxpayer identification number* _____

*Taxpayer identification number (TIN) is required unless you are a tax resident in a country/jurisdiction that does not issue a TIN.

8. Statements of the Legal Representative

I hereby certify that I have received, read and agree with the provisions in the Issue Guide and Key Investor Document of the closed-end investment fund Hermes.

- *By signing this subscription form, I understand that I become a part of the company agreement.*
- *I agree that my personal data be used for receiving further information, processed and stored to validate my status as investor in the closed-end fund Hermes and to conduct the fund operations.*
- *I declare that I have been aware of the money laundering legislation and the data provided in this form are correct and real.*
- *I declare that I have been informed of the risks associated with investing in closed-end investment funds.*

9. Beneficiary Owner

Undersigned _____ as legal representative of _____

I declare that the beneficial owner of the amount subscribed to the Fund has the following identification data in accordance with the attached identity document:

10. Subscription Documents

In order to accept and certify the subscription to the FIA HERMES, I send you the following documents:

- Updated articles of incorporation (copy)
- Copy of ID with photo for the legal representative/empowered (CI/ BI for Romanian citizens, national identity document/passport - for citizens of the Member States of the European Union and the European Economic Area, passport - for citizens of third countries, with the words "according to the original").

Updated documents:

- certificate of registration with the Trade Registry Office/competent authority of the State of origin;
- certificate issued by the National Trade Registry Office/On-line Recom or similar authorities in the State of origin (original) and equivalent documents for other types of legal persons or entities without legal personality attesting to the information relating to the identification of the client;
- the decision of the statutory body (SGA/BOD/Single Associate) empowering a natural person to represent the company in relation to the Fund, if the trustee is a person other than the legal representative of the company;
- proof /address from the bank showing the IBAN account and the company's identification data (Unique Registration Code/ address of registered office)
- list of persons with the right of signature in the account, administrators, persons with managerial positions or mandate to represent the company for the redemption of the fund units

Name	Job Title	Signature Type*	Signature specimen

* Double: is valid with another signature

*Unique: full rights

11. GDPR Information

We inform you of the following information regarding your personal data:

SAI Atlas Asset Management SA complies with the legal provisions on the protection of personal data and implements technical and organisational measures to protect all operations relating directly or indirectly to personal data, which prevent unauthorised or unlawful processing, as well as accidental or unlawful loss or destruction.

SAI Atlas Asset Management SA processes your personal and real beneficiaries data entered in the subscription and redemption forms for:

- performance of the contract signed with you, including carrying out the activities performed by SAI Atlas Asset Management SA in your interest by virtue of the signed documents;
- the fulfilment of tax obligations related to the subscription of the fund units;
- fulfilment of the obligations imposed by law on SAI Atlas Asset Management SA;
- knowledge of the clientele in order to prevent money laundering and combat terrorist financing;
- prevention of fraud and guarantee of bank secret by verifying the authenticity of the identity document presented;
- reporting transactions, if necessary;
- carrying out internal audits and investigations; administrative-financial management; management of conflicts of interest;
- the management of controls carried out by the supervisory authorities or authorities;
- ensuring security in the Society's premises; file keeping, storage (pre-archivation) and archiving of documents;
- implementation of personal data security measures.

Please note that your refusal to provide your data/intervention on the data you have provided to SAI Atlas Asset Management SA through the forms (SAI Atlas Asset Management SA documents) may be such as to prevent certification of the fund unit subscription operation.

Providing your personal data via the redemption form and the retention of a copy of your ID card is a legal obligation stipulated in Law 129/2019 and in ASF Regulation No. 13/2019, and your refusal to provide such documents can stop the process of certification of the fund unit subscription operation, i.e. your certification. as an investor of the Fund. In case of refusal, SAI Atlas Asset Management SA is exempt from liability.

SAI Atlas Asset Management SA does not use personal data for automated processing or profiling. SAI Atlas Asset Management SA uses technical means for storing data securely and does not process data for secondary purposes incompatible with the purposes for which it collected it.

SAI Atlas Asset Management SA strictly respects and ensures professional secrets and discloses personal data only for the fulfilment of your interests or the fulfilment of legal obligations.

SAI Atlas Asset Management SA reviews the collected data each year, analyzing the extent to which its retention is necessary for the mentioned purposes, your legitimate interests or the fulfilment of legal obligations.

In accordance with the legal provisions concerning the protection of natural persons with regard to the processing of personal data, the free movement and processing of such data, you may exercise the following specific rights: (a) the right to information, (b) the right of access, (c) the right of rectification, (d) the right to delete personal data (the right to be forgotten), (e) the right to restrict processing, (f) the right to data portability, (g) the right to object. Please inform us of your requests in any way that is informing of the identity of the applicant and his entitlement, at the e-mail address contact@atlas-am.ro or at the SAI Atlas Asset Management SA. We'll get back to you in no time. If you are dissatisfied, you can contact ANSPDCP.

Additional information can be obtained from SAI Atlas Asset Management SA staff or by consulting the website at www.atlas-am.ro.

Client ¹ signature (and stamped, if necessary)

Signature of the S.A.I. Atlas Asset Management S.A. operator

Client ² signature

Signature _____
Date _____
Hour _____

Legal Representative Signature _____

**An annex to the Subscription Form will be completed if there are more than one legal representatives*

To be completed by SAI Atlas Asset Management SA

Subscription Form Series _____ **Investor Account Number** _____

Fund managed by SAI Atlas Asset Management SA

Investment Management Company by Decision ASF (CNVM) No 607/14.04.2009

Alternative Investment Fund Manager by ASF Authorisation No. 44/15.02.2018

Headquartered in Romania, Bucharest, sector 1, Bucharest-Ploiesti Road, nr. 7A, Floor 6 office 1

Registered at ONRC Bucharest under No. J40/15700/15.09.2008 and CUI 24467322

Phone No: 021 3617821; fax: 021 3617822; e-mail contact@atlas-am.ro; web address www.atlas-am.ro.